Details of Redressal of Complaints received against IIFL Mutual Fund during FY 2013-2014 Total Folios : 21988

| Compl | Type of Complaint# | (a) No. of complaints pending at the beginning of the year | Action on (a) and (b) | | | | | | | | | |
|--------------|---|---|--|-------------------|-------------------|--------------------|------------------------|------------------|---------------|---------------|-------------------|-------------|
| aint Code | | | (b) No. of | Resolved | | | | Non | Pending | | | |
| | | | Complaints received during the year | Within 30 days | 30- 60 days | 60- 180 days | Beyon d 180 days | Actionabl e * | 0-3 months | 3-6 months | 6-9 mont hs | 9-12 months |
| ΙA | Non receipt of Dividend on Units | | | | | | | | | | | |
| ΙB | Interest on delayed payment of Dividend | | | | | | | | | | | |
| I C | Non receipt of Redemption Proceeds | | 81 | 81 | | | | | | | | |
| I D | Interest on delayed payment of Redemption | | 1 | 1 | | | | | | | | |
| II A | Non receipt of Statement of Account/Unit Certificate | | 10 | 10 | | | | | | | | |
| II B | Discrepancy in Statement of Account | | | | | | | | | | | |
| II C | Data corrections in Investor details | | 17 | 17 | | | | | | | | |
| II D | Non receipt of Annual Report/Abridged Summary | | | | | | | | | | | |
| III A | Wrong switch between Schemes | | | | | | | | | | | |
| III B | Unauthorized switch between Schemes | | | | | | | | | | | |
| III C | Deviation from Scheme attributes | | | | | | | | | | | |
| III D | Wrong or excess charges/load | | | | | | | | | | | |
| III E | Non updation of changes viz. address, PAN, bank details, nomination, etc | | 13 | 13 | | | | | | | | |
| IV | Others | | 9 | 9 | | | | | | | | |
| | a. Special Products (SIP/STP/SWP/Switch) | | 12 | 12 | | | | | | | | |
| | b. Refund Cases | | 17 | 17 | | | | | | | | |
| | Total | | 160 | 160 | | | | | | | | |