



# Nomination Form

(To be filled in only by individual unit holders for registering a nominee or cancelling an existing nomination)

First Holder : \_\_\_\_\_  
Second Holder : \_\_\_\_\_  
Third Holder : \_\_\_\_\_  
Folio No. : \_\_\_\_\_

Please (√)  
 Appointment of Nominee  
 Change in Nominee  
 Cancellation of Nominee  
Date: DD / MM / YYYY

## NOMINEE(S)

I/We do hereby nominate the undermentioned Nominee(s) to receive the Units allotted to my/our credit in my/our folio in the event of my/our death. I/We also understand that all payments and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be valid discharge by IIFL Alternate Asset Advisors Ltd. (IM)/ IIFL Trustee Services Limited/ IIFL Alternate Investment Funds.

**FIRST NOMINEE DETAILS**  
Name of Nominee \_\_\_\_\_  
Relationship with Investor \_\_\_\_\_ Date of Birth (If nominee is minor) DD / MM / YYYY  
Address of Nominee \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Pin Code \_\_\_\_\_  
Name of Guardian (If Nominee is minor) \_\_\_\_\_  
Address of Guardian \_\_\_\_\_  
City \_\_\_\_\_ Pin Code \_\_\_\_\_  
State \_\_\_\_\_ ^ Allocation % \_\_\_\_\_  
Signature of Nominee/ Guardian\*

**SECOND NOMINEE DETAILS**  
Name of Nominee \_\_\_\_\_  
Relationship with Investor \_\_\_\_\_ Date of Birth (If nominee is minor) DD / MM / YYYY  
Address of Nominee \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Pin Code \_\_\_\_\_  
Name of Guardian (If Nominee is minor) \_\_\_\_\_  
Address of Guardian \_\_\_\_\_  
City \_\_\_\_\_ Pin Code \_\_\_\_\_  
State \_\_\_\_\_ ^ Allocation % \_\_\_\_\_  
Signature of Nominee/ Guardian\*

**THIRD NOMINEE DETAILS**  
Name of Nominee \_\_\_\_\_  
Relationship with Investor \_\_\_\_\_ Date of Birth (If nominee is minor) DD / MM / YYYY  
Address of Nominee \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Pin Code \_\_\_\_\_  
Name of Guardian (If Nominee is minor) \_\_\_\_\_  
Address of Guardian \_\_\_\_\_  
City \_\_\_\_\_ Pin Code \_\_\_\_\_  
State \_\_\_\_\_ ^ Allocation % \_\_\_\_\_  
Signature of Nominee/ Guardian\*

^ Allocation (%) by which the units will be shared by each Nominee (should aggregate to 100%) \* In case the nominee is minor

**CANCELLATION OF NOMINATION**  
I/We \_\_\_\_\_ Name of 1st Unit Holder \_\_\_\_\_  
\_\_\_\_\_ Name of 2nd Unit Holder \_\_\_\_\_  
\_\_\_\_\_ Name of 3rd Unit Holder \_\_\_\_\_  
do hereby cancel the nomination made by me/ us in favour of under mentioned in respect of Units held by me/us the above referred Folio.  
Nominee 1 \_\_\_\_\_ Name of Nominee \_\_\_\_\_ Guardian Name \_\_\_\_\_ In case Nominee is Minor  
Nominee 2 \_\_\_\_\_ Name of Nominee \_\_\_\_\_ Guardian Name \_\_\_\_\_ In case Nominee is Minor  
Nominee 3 \_\_\_\_\_ Name of Nominee \_\_\_\_\_ Guardian Name \_\_\_\_\_ In case Nominee is Minor

Signature of Sole/ First Applicant \_\_\_\_\_  
Signature of Second Applicant \_\_\_\_\_  
Signature of Third Applicant \_\_\_\_\_

(To be signed by all joint holders, even if mode of holding is not 'joint')

## ACKNOWLEDGEMENT SLIP



Received from (Mr/ Mrs. M/s): \_\_\_\_\_  
\_\_\_\_\_ nomination form.  
Folio No.: \_\_\_\_\_  
Date: \_\_\_\_\_

Appointment of Nominee  
 Change in Nominee  
 Cancellation of Nominee

Collection Centre's Stamp with date & time of receipt

## INSTRUCTIONS

1. The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders should sign the Nomination Form.
2. Minor(s) can be nominated and in that case, the name, address and signature of the guardian of the minor nominee(s) shall be provided by the unitholder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
3. The Nominee shall not be a trust (other than religious and charitable trust), society, body corporate, and partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
4. A non-resident Indian can be a nominee subject to the exchange control regulation in force, from time to time.
5. Nomination in respect of the units stands rescinded upon the transfer of units.
6. Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in favour of each of the nominees should be indicated against their name and such allocation/share should be in whole numbers without any decimals making a total of 100 percent. If the aggregate is less than 100% then the balance will be re-balanced to the first unit holder. If the aggregate is greater than 100% then nomination would be rejected.
7. In the event of the Unit holders not indicating the percentage of allocation/share for each of the nominees, IIFL Alternate Asset Advisors Ltd. (IM)/ IIFL Trustee Services Limited/ IIFL Alternate Investment Funds, by invoking default option shall settle the claim equally amongst all the nominees.
8. In case of partial redemption/transfer, existing nomination/s will continue for the remaining units.
9. Transfer of units in favour of Nominee(s) shall be valid discharge by the Investment Manager against the legal heir.
10. The cancellation of nomination can be made only by those individuals who hold units on their behalf singly or jointly and who made the original nomination.
11. On cancellation of the nomination, the nomination shall stand rescinded and the Investment Manager shall not be under any obligation to transfer the units in favour of the Nominee(s).
12. Investors have to submit separate nomination forms where the mode of holding is different.
13. In respect of folios where the mode of holding is similar, Investors can use single form for nomination.
14. I/We have read the Investment Manager's instructions for nomination provided in this form and in the Investment Documents and I/We hereby confirm to adhere to such rules or amendments thereto as may be made from time to time.
15. The rights in the units will vest in the nominee(s) only upon the death of all unit holders.
16. For units of the scheme(s) held in electronic (demat) form with depository, the nomination details provided by the unitholder to the depository will be applicable to the units of the scheme.
17. Nomination shall be maintained at folio/ account level and shall be applicable for investments in all schemes in the folio/ account. For existing investors Folio Number is mandatory, in absence of which the form is liable to be rejected.
18. Nomination shall not be allowed in a folio held on behalf of a minor. Non individual including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney can not nominate.