

# DECLARATION FROM RESIDENTS OF UNITED STATES OF AMERICA



ASSET  
MANAGEMENT

WEALTH & ASSET MANAGEMENT

Date	
<b>INVESTOR DETAILS:</b>	
First Holders Name	
PAN	
Folio Number	
Scheme Name	

**I/We, hereby unequivocally and irrevocably declare, accept the statements, all of which I/We am/are fully knowledgeable about, as follows:**

1. I/We am/are a Non Resident Indian(s) (NRI) / Person(s) of Indian Origin (PIO) as per the extant laws of the Republic of India currently residing in the United States of America;
2. I/We confirm that the transaction(s) in the schemes of the IIFL Mutual Fund ('Fund') was submitted by me/us, when we were physically present in India.
3. I/We understand and agree that the Fund, IIFL Asset Management Limited('AMC'), IIFL Trustee Limited ('Trustee') have neither filed any of their scheme related documents/ constitution documents nor have registered the units of the schemes of the Fund in any jurisdiction other than the Republic of India;
4. I/We hereby declare that neither the AMC nor the Fund have communicated/ solicited/marketed/advertised about making any investment(s) in the scheme(s) of the Fund either by way of (a) any physical means (including but not limited to any advertisement, article, notice or other communication published in any newspaper, magazine or similar media, seminar, meeting, press conference, mailings, billboards or (b) any electronic means (including but not limited to a television/radio broadcast, internet, social media, electronic mails) in a jurisdiction of which I am a resident by any person(s);
5. I/We confirm that the transaction(s) in the schemes of the Fund is based on my/our own decision and neither the AMC nor its employees/distributors have influenced (actively/passively) my/our decision for transaction(s) in the schemes;
6. I I/We confirm that by applying for subscription to the schemes of Fund, I/We are not in any non-compliance, violation or in irregularity with any/all extant laws (of any jurisdiction) applicable to me/us and that I/We have not been barred/prohibited from accessing the capital markets under any order/ruling/judgement/decreed etc. in any jurisdiction;
7. I/We understand and acknowledge that AMC reserves the right to reject my/ our transaction(s)/reverse units allotted in the schemes of the Fund at its sole discretion. Further, subject to non-receipt of required documentation/information or changes in applicable legal provisions or such other circumstances as determined by the AMC, the AMC reserves the right to redeem my/our investment and refund the proceeds to me/us. I/We agree that such decision of the AMC shall be final and binding on me/us.
8. I/We authorize the AMC, the Trustee Company, and its service providers to disclose/report details of my/our investments as may be required.
9. I/We hereby agree to provide any additional information/documentation to AMC, its agents, employees, registrar etc. that may be required in connection with the investments made by me/us;

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10. I /We agree that Fund/AMC/Trustee, its directors, its officers and employees shall not be liable for any direct, indirect, special, incidental or consequential cost, loss, expenses, damages and claims arising out of, including but not limited to my/our investments or on account of any untrue/misleading/incomplete statement/ information provided by me or rejection of my/our transactions/ reversal of units allotted, in the scheme.
11. I/We hereby declare that I/we are fully aware of the implications (legal, compliance, regulatory and tax related) of making such investment and I/we am/are taking this investment decision based on the advice of tax consultant (s) and legal counsel;
12. In case of any inconsistency being found between the information being provided by me/us in the application form and the information contained herein, the contents of this declaration shall prevail;

## NAME AND SIGNATURE

SIGNATURE	SIGNATURE	SIGNATURE
NAME	NAME	NAME
<b>FIRST HOLDER</b>	<b>SECOND HOLDER</b>	<b>THIRD HOLDER</b>